NEVADA STATE BOARD of DENTAL EXAMINERS



BOARD MEETING

NOVEMBER 4, 2016

9:00 A.M.

PUBLIC BOOK



NEVADA STATE BOARD OF DENTAL EXAMINERS 6010 S Rainbow Boulevard, Suite A-1 Las Vegas, Nevada 89118 (702) 486-7044 Video Conference was available at the office of the Nevada State Board of Medical Examiners 1105 Terminal Way, Suite 301, Reno Nevada 89502

DRAFT MINUTES

Friday September 23, 2016 8:31 a.m.

ANESTHESIA COMMITTEE

(Brendan Johnson, DDS (Chair); Dr. Timothy Pinther; Dr. Jason Champagne; Dr. James Kinard)

Meeting Agenda

Please Note: The Nevada State Board of Dental Examiners may 1) address agenda items out of sequence to accommodate persons appearing before the Board or to aid the efficiency or effectiveness of the meeting; 2) combine items for consideration by the public body; 3) pull or remove items from the agenda at any time. The Board may convene in closed session to consider the character, alleged misconduct, professional competence or physical or mental health of a person. See NRS 241.030. Prior to the commencement and conclusion of a contested case or a quasi judicial proceeding that may affect the due process rights of an individual the board may refuse to consider public comment. See NRS 233B.126.

At the discretion of the Chair, public comment is welcomed by the Board, but will be heard only when that item is reached and will be limited to five minutes per person. A public comment time will also be available as the last item on the agenda. The Chair may allow additional time to be given a speaker as time allows and in his/her sole discretion. Once all items on the agenda are completed the meeting will adjourn.

Asterisks (*) denote items on which the Board may take action. Action by the Board on an item may be to approve, deny, amend, or table.

1. Call to Order, roll call, and establish quorum

Dr. Johnson called the meeting to order and Mrs. Shaffer-Kugel conducted the following roll call:

Dr. Brendan Johnson, DDS ("Dr. Johnson") ------ PRESENT Dr. Timothy Pinther, DDS ("Dr. Pinther") ------ PRESENT Dr. Jason Champagne, DDS ("Dr. Champagne") ---- PRESENT Dr. J Gordon Kinard, DDS ("Dr. Kinard") ------PRESENT

Others Present: Mr. John Hunt, Board Counsel; Mrs. Debra Shaffer-Kugel, Executive Director.

Public Attendees: Richard Dragon, NDA; Alex Tanchek, NDHA; Robert Talley, DDS – NDA; Georgene Chase, DDS - Smile Restore; Sally-Ann Nash, Counsel for Dr. G. Chase; Caryn Solie, RDH - NDHA; Catherine O'Mara, NSMA; Jason Sadow, Nutile Law.

2. <u>Public Comment:</u> (Public Comment is limited to three (3) minutes for each individual)

Public Comment given by: There was no public comment.

Note: No vote may be taken upon a matter raised under this item of the agenda until the matter itself has been specifically included on an agenda as an item upon which action may be taken. (NRS 241.020)

*3. Review, discuss and make recommendation to the Board to approve/reject the draft proposed language from the Anesthesia Subcommittee regarding NAC 631.003; NAC 631.004; NAC 631.2211 – NAC 631.2254 pursuant to the new definitions for minimal and moderate sedation enacted through AB89. (For Possible Action)

Debra Shaffer-Kugel gave a brief history of the initial language changes adopted by Legislative Counsel Bureau ("LCB"), and how the Board created an Anesthesia Subcommittee to go over the new regulations to draft language for proposed anesthesia regulation changes. She briefly went over some of the changes regarding minimal sedation and the proposed changes regarding sedation on pediatric patients. Dr. Johnson gave a little more insight to some of the reasoning and changes for the pediatric moderate sedation, moderate sedation and the education requirements. Dr. Johnson stated that the Anesthesia Subcommittee forwarded the recommended regulations for proposed draft language. Dr. Pinther asked for clarification on the regulation for minimal sedation and read the proposed regulation for said sedation type. Dr. Johnson stated that it is easier to control a single drug administered versus multiple doses of multiple drugs, which creates a greater safety factor. Dr. Johnson clarified to Dr. Champagne that there is a pediatric moderate permit and a moderate permit. Mrs. Shaffer-Kugel clarified that pediatric is defined based on age, and there will be two separate permits, and therefore, there is some restriction for those that are not dually-permitted. There was additional discussion regarding the two separate permits and the restrictions for those administering under the definition of minimal sedation.

MOTION: Dr. Kinard made the motion to move forward with presenting the recommendations from the Anesthesia Subcommittee to the Board for consideration. Motion was seconded by Dr. Champagne. All were in favor of the motion.

4. <u>Public Comment</u>: (Public Comment is limited to three (3) minutes for each individual)

Public Comment from: There was no public comment.

Note: No vote may be taken upon a matter raised under this item of the agenda until the matter itself has been specifically included on an agenda as an item upon which action may be taken. (NRS 241.020)

- 5. <u>Announcements:</u> There were no announcements made.
- *6. <u>Adjournment</u> (For Possible Action)

MOTION: Dr. Champagne made the motion to adjourn. Motion was seconded by Dr. Pinther. All were in favor of the motion.

Meeting Adjourned at 8:51 am.

Respectfully submitted by:

Debra Shaffer-Kugel, Executive Director



NEVADA STATE BOARD OF DENTAL EXAMINERS 6010 S Rainbow Boulevard, Suite A-1 Las Vegas, Nevada 89118 (702) 486-7044



<u>Video Conferencing was available for this meeting at the Nevada State Board of Medical Examiners located at</u> <u>1105 Terminal Way, Suite 301, Reno, NV 89502</u>

NOTICE OF INTENT TO ACT ON PROPOSED PERMANENT REGULATIONS (R086-16) & NOTICE OF PUBLIC MEETING

DRAFT Minutes

Friday, September 23, 2016 9:09 a.m. <u>Board Meeting Agenda</u>

Please Note: The Nevada State Board of Dental Examiners may 1) address agenda items out of sequence to accommodate persons appearing before the Board or to aid the efficiency or effectiveness of the meeting; 2) combine items for consideration by the public body; 3) pull or remove items from the agenda at any time. The Board may convene in closed session to consider the character, alleged misconduct, professional competence or physical or mental health of a person. *See* NRS 241.030. Prior to the commencement and conclusion of a contested case or a quasi judicial proceeding that may affect the due process rights of an individual the board may refuse to consider public comment. *See* NRS 233B.126.

At the discretion of the Chair, public comment is welcomed by the Board, but will be heard only when that item is reached and will be limited to five minutes per person. A public comment time will also be available as the last item on the agenda. The Chair may allow additional time to be given a speaker as time allows and in his/her sole discretion. Once all items on the agenda are completed the meeting will adjourn.

Asterisks (*) denote items on which the Board may take action. Action by the Board on an item may be to approve, deny, amend, or table.

1. Call to Order, roll call, and establish quorum

Pledge of Allegiance

Dr. Pinther called the meeting to order and Mrs. Shaffer-Kugel conducted the following roll call:

Dr. Timothy Pinther ("Dr. Pinther"	')PRESENT
Dr. Byron Blasco ("Dr. Blasco")	•
Dr. J Gordon Kinard ("Dr. Kinard"))PRESENT

Dr. Brendan Johnson ("Dr. Johnson") -----PRESENT

Dr. Gregory Pisani ("Dr. Pisani") -----PRESENT

Dr. Jason Champagne ("Dr. Champagne") ---PRESENT

Dr. Ali Shahrestani ("Dr. Shahrestani") -----EXCUSED Mrs. Leslea Villigan ("Mrs. Villigan") -----PRESENT Ms. Theresa Guillen ("Ms. Guillen") ------PRESENT Ms. M Sharon Gabriel ("Ms. Gabriel") ------PRESENT Ms. Stephanie Tyler ("Ms. Tyler") ------PRESENT

Others Present: John Hunt, Board Legal Counsel; Debra Shaffer-Kugel, Executive Director; Stacie Hummel, Board Accountant.

Public Attendees: Robert Talley, DDS, NDA; Tina Tsou, Las Vegas Dental Association; Jason Sadow, Nutile Law;
Jody Beck, Self; Elizabeth Gibson, Self; Sara Mercier, Self; Tina Brandon-Abbatangelo, DDS, SNDS; Bill Pappas, DDS - ADEX; Pashtana Usutzy, Self; Rick Thiriot, DDS - UNLV SDM; Richard Dragon, NDA; Alex Tanchek, NDHA;
Georgene Chase, DDS – Smile Restore; Sally-Ann Nash, Counsel for Dr. Georgene Chase; Caryn Solie, RDH – NDHA;
Catherine O'Mara, NSMA; Joanna Jacob, Ferrari Public Affairs & NDA; Luke Hermann, inLumon; Lyn Beggs, Esquire, Smile Restore; Kelly Euse, Advanced Dentistry by Design.

2. <u>Public Comment:</u> (Public Comment is limited to three (3) minutes for each individual)

Tina Tsou with the Las Vegas Dental Association disseminated copies of her public comment to the Board members before reading her comments into the record.

1

Mr. Hunt commented that the complaint referenced in Ms. Tsou's statement, was regarding the Board policy for public comment at the beginning and end of meetings pursuant to the open meeting law. He noted that the complainants attempted to interject Board discussion of an agenda item with public comment, which public comment is permitted on our agenda for before and after the meeting, therefore the Board did not violate said policy. Mr. Hunt noted that the Board was recognized for their work with the community and the dental school.

Joanna Jacob commented that on the draft minutes of July 15, while she does affirm her attendance, she noted that she is with the NDA not NDHA, and therefore, asked that the correction be made.

Note: No vote may be taken upon a matter raised under this item of the agenda until the matter itself has been specifically included on an agenda as an item upon which action may be taken. (NRS 241.020)

 *3. Notice of Intent to Act Upon Proposed Permanent Regulations (R086-16), Request for Comments relative to the proposed permanent regulation changes and/or amendments pertaining to Nevada
 Administrative Code Chapter 631; the general topics include the following: Use of laser radiation in practice (NAC 631.033); Continuing Education (NAC 631.175); Dental hygienists, authorization to perform certain services (NAC 631.210) (For Possible Action)

Mrs. Shaffer-Kugel noted that the Board previously held public workshops for the regulations being addressed. She
stated that at the July 15th meeting, the Nevada Medical Association came forward and strongly opposed the board
moving forward with the regulation changes that would permit for dental hygienist' and dentist' to administer
Botulinium toxins. Mrs. Shaffer-Kugel stated that she spoke with LCB regarding the comments and concerns from
the NMA, and inquired of other options to move forward with one of the three proposed regulations. She was
advised that the Board could reassign some of the regulations to their own R-document, which she explained the
option briefly.

89 Mr. Hunt noted at the time the Board gave their Advisory Opinion in November 2015, the Medical Board submitted a 90 letter to the Board indicating that there was no conflict with the Board moving forward with the opinion that 91 administering botulinium toxins would, also, fall under the scope of dentistry. He noted further that pursuant to the 92 Medical Board's statutes, a medical assistant – whom they have no jurisdiction over, and without any defined 93 training - can administer the toxin. Mr. Hunt argued, inversely, that the Dental Board would be permitting licensees 94 to administer the toxin - licensees who the Board has jurisdiction over, should there be any complaints or violations 95 he added that the Board would, also, be establishing parameters for minimum training requirements. Mr. Hunt 96 stated that since the issuance of the Advisory Opinion some concerns were raised, particularly, to whom a dentist 97 may administer to. He clarified (in assumption that the regulation changes were approved) that any licensee 98 administering botulinum toxins, dermal fillers and/or other facial injectables only may do so on patients of record, in 99 100 office, and must comply with CDC infection control requirements.

101 Mr. Hunt advised the Board of their option to either adopt the regulations as they are, amend them, or table them. 102 Dr. Blasco stated that multiple states and provinces allow dentist' to administer botox and dermal fillers. He noted 103 that the Continuing Education committee created a parameter for a minimum requirement of training, however, that 104 it did not exclude a licensee's ability to obtain training that would go beyond the minimal training requirements. He 105 stated that there was a segment of the public administering the injectables in question that are far less trained to 106 administer them than dentists'. He noted that the Board is at the very least setting parameters that must be met and 107 that they have jurisdiction over the licensees that would be administering said injectables. Nevertheless, he noted 108 that it was never the Board's intent to allow for dentists to be able to administer on patients that are not patients of 109 record, and stated further that licensees would be limited to the oral and maxillofacial region. Mrs. Shaffer-Kugel 110 noted that she spoke with Pamela, the chief examiner for the Medical Board, who informed her that they have a 111 regulation that states that Medical Assistants are allowed, under the supervision of medical doctor, to administer 112 botulinium toxins. She noted further, that the Medical Board was pursuing regulations to address the regulation 113 regarding medical assistants as there currently was an issue with Medical assistants improperly administering the 114 toxin in question. Dr. Johnson stated that it was ironic that the Medical Association was critiquing dentists' and 115 dental hygienists' as being insufficiently trained to administer, though the Board drafted language for the 116 establishment of training for the administration of the injections in question; yet the Medical board had no set 117 parameters for the unlicensed medical assistants, not even for training.

118

Mrs. Shaffer-Kugel recommended that the Board extract, on page two (2) the proposed regulations, NAC 631.033,
 regarding the administration of botulinum toxin, dermal fillers, and other facial injectables by dentists' and dental

- hygienists', as well as the language proposed under NAC 631.210, and reassign it to have its own R-number and be
 posted for a notice of public workshop.
 - **No public attendees stepped forward with public comment**

MOTION: Dr. Pisani to move forward with the language as presented. Motion was seconded by Dr. Kinard. Roll Call Vote:

- Dr. Timothy Pinther-----noDr. Gregory Pisani ------yesMs. Theresa Guillen -----yesDr. Byron Blasco-----yesDr. Jason Champagne-----noMs. M Sharon Gabriel----yesDr. J Gordon Kinard-----yesDr. Ali Shahrestani-----excusedMs. Stephanie Tyler-----no
 - Dr. Brendan Johnson-----yes Mrs. Leslea Villigan -----yes

Motion was agreed to; motion passed.

*4. <u>Executive Director's Report</u> (For Possible Action)

*a. <u>Minutes-</u>NRS 631.190 (For Possible Action)

- (1) Board Meeting-July 15, 2016
- (2) Budget & Finance Committee Meeting-08/18/2016
- (3) Board Meeting-08/24/2016
- (4) Anesthesia Subcommittee Meeting-09/08/2016

Mrs. Shaffer-Kugel noted the correction to be made to the July 15th minutes.

MOTION: Dr. Blasco made the motion to approve the minutes with the public comment correction. Motion was seconded by Ms. Guillen. All were in favor of the motion.

b. Financials -NRS 631.180/NRS 631.190

(1) Review Balance Sheet and Statement of Revenues, Expenses and Balances for fiscal period July 1, 2015 through June 30. 2016 (For Possible Action)

Mrs. Hummel went over the balance sheet and statement of revenues, expenses and balances for fiscal period July 2015 through June 2016. She stated that the statements provided were unaudited statements. She explained that they do not have the current pension liability and that she could not add it to statement provided until the information is provided to them from the state, and that they, therefore, cannot begin the audit until the information is received and added to the statement.

(2) Consider the Recommendations from the Budget & Finance Committee to approve the FY 17 Proposed Budget (For Possible Action)

Mrs. Shaffer-Kugel indicated that the budget was reviewed and approved by the Budget and Finance committee. Mrs. Hummel pointed out that she added one item to the budget, which was \$40,000 for the possibility of the Board choosing to transition over to the new licensing system. She went on to discuss the increases and items added in anticipation of the changes to anesthesia permits. She noted that should the board opt to stay with GL Suites, she budget for a project to be done. Mrs. Shaffer-Kugel stated that regarding the public comment regarding legal expenses, and stated that the public may be confused in assuming that the \$270,000 is an increase to Mr. Hunt's contract, which was invalid. Ms. Hummel clarified for the record that the \$270,000 was a total for all legal fees and expenses, that it was a combination of all legal services and not exclusive to Mr. Hunt's services.

MOTION: Dr. Pisani made the motion to approve. Motion was seconded by Dr. Kinard. All were in favor of the motion.

(3) Consideration of proposal for new Licensing Software System (For Possible Action)

(a) inLumon

Mrs. Shaffer-Kugel stated that the Board had been with GL Suites since 2005, and that while it was a good
licensing system, the issues were projects and tasks were taking months to complete, and was always running
behind on making the system more efficient, even with the fact that the Board has the largest system currently

82 available by GL Suites. She noted that the new system with inLumon would give the board more control and that

183 it would streamline the system, which would grant more access options to licensees and applicants. Mr. Herman 184 with inLumon stepped forward to give a little more insight on to what they offer and some of the benefits they 185 would have should they choose to move over the licensing system. Dr. Kinard inquired on the length of the 186 transition period. Mr. Herman responded that it would take approximately three (3) months to do the transition. Mrs. Shaffer-Kugel stated that the more prudent option would be to choose option 1, and pay the \$40,000 upfront, 187 188 which would create a 6-month lag before the monthly fees were to commence. Mr. Herman noted that in the 189 proposal there was a possibility of a 3% increase in fees annually. Dr. Pisani inquired if they budget to pay the 190 monthly GL Suite fees and upfront fees to begin the transition process. Ms. Hummel answered affirmatively that 191 they were both budgeted for. Mrs. Villigan inquired on the potential security issues that the State could have with 192 the Board potentially accessing meeting information using their personal electronic devices. Dr. Pisani noted that 193 inLumon was an approved State vendor, and therefore, strongly assumed that security concerns would not be an 194 issue with the State. Mr. Herman spoke of the different boards and the work they're doing with them. 195

MOTION: Dr. Kinard made the motion to move forward with inLumon proposal. Motion was seconded by Dr.
Blasco. All were in favor of the motion. Mrs. Shaffer-Kugel asked that they clarify with contract option they were voting for. Dr. Kinard and Dr. Blasco rescinded their motion and second to the motion.

MOTION: Dr. Kinard made the motion to move forward with inLumon with the initial investment of \$40,000 and monthly maintenance costs of \$2,100. Motion was seconded by Dr. Blasco. All were in favor of the motion.

*c. <u>Authorized Investigative Complaint</u>-NRS 631.363 (For Possible Action)

(1) Dr. V - NRS 631.3475(1) (For Possible Action)

Mr. Hunt gave a brief description of the process for doing authorized investigations anonymously. Mrs. Shaffer-Kugel went over the alleged violations.

MOTION: Dr. Kinard made the motion to authorize the investigation. Motion was seconded by Dr. Blasco. All were in favor of the motion.

(2) Dr. W - NRS 631.3475(5) and NAC 631.230(1)(b) (For Possible Action)

Mrs. Shaffer-Kugel went over the alleged violations.

200

201 202 203

204 205 206

207

232

233 234

235 236 237

2 CONVERSE

MOTION: Dr. Pisani made the motion to authorize the investigation. Motion was seconded by Ms. Guillen. All were in favor of the motion.

(3) Dr. X - NRS 631.395(10) (For Possible Action)

Mrs. Shaffer-Kugel went over the alleged violations.

MOTION: Ms. Gabriel made the motion to authorize the investigation. Motion was seconded by Ms. Guillen. All were in favor of the motion.

(4) Dr. Y - NRS 631.3475(4) and NAC 631.230(1)(c) (For Possible Action)

Mrs. Shaffer-Kugel went over the alleged violations.

MOTION: Dr. Pisani made the motion to authorize the investigation. Motion was seconded by Ms. Guillen. All were in favor of the motion.

(5) Dr. Z - NRS 631.348(1) and NRS 631.395(6) (For Possible Action)

Mrs. Shaffer-Kugel went over the alleged violations.

MOTION: Dr. Kinard made the motion to authorize the investigation. Motion was seconded by Dr. Blasco. All were in favor of the motion.

d. Correspondence-NRS 631.240 and NRS 631.300:

- (1) Review correspondence from ADEX and update on the ADEX Annual Meeting
 - (a) Timothy Pinther, DDS

Dr. Pinther gave a report on his recent meeting with ADEX and some of the minor changes made to the language for both the dental and dental hygiene exams. He went over some of the ASDA desires for exam requirements and the changes they want made to exams. Dr. Pappas went over in some detail, the noted structure changes.

- *e. <u>Travel</u> NRS 631.190 (For Possible Action)
 - (1) Approval for So. Nevada Board Members and Executive Director to travel to Reno, Nevada for the January 2017 Election of Officers and Board Meeting (For Possible Action)

MOTION: Ms. Gabriel made the motion to approve travel. Motion was seconded by Ms. Guillen. All were in favor of the motion.

- *f. <u>Calendar</u> NRS 631.190 (For Possible Action)
 - (1) Approval of Calendar of Events for 2017

Mrs. Shaffer-Kugel stated that the following dates were tentative dates with confirmed conference room availability with the Medical Board.

MOTION: Dr. Blasco made the motion to approve. Motion was seconded by Dr. Johnson. All were in favor of the motion.

- *5. Board Counsel's Report (For Possible Action)
 - a. Legal Actions/Lawsuit(s) Update
 - (1) District Court Case(s) Update

Mr. Hunt indicated that there are no pending lawsuits against the Board.

- *b. <u>Consideration of Stipulation Agreements</u> (For Possible Action)
 - (1) William Maricondia, DDS

Mr. Hunt went over the provisions of the stipulation agreement and stated that the disciplinary screening office ("DSO") recommended approval.

MOTION: Dr. Pisani made the motion adopt the stipulation agreement. Motion was seconded by Dr. Champagne. All were in favor of the motion.

(2) Gregg Hendrickson, DDS

Mr. Hunt went over the provisions of the stipulation agreement and stated that the DSO recommended approval.

MOTION: Dr. Blasco made the motion adopt the stipulation agreement. Motion was seconded by Dr. Johnson. All were in favor of the motion; Dr. Kinard abstained.

*6. <u>New Business</u> (For Possible Action)

*a. Board to determine whether Smile Restore (non-profit organization) is providing dental services by volunteer dentists at no charge or at a substantially reduced charge to populations with limited access to dental care pursuant to NRS 631.215(2)(f)(3) (For Possible Action)

Dr. Chase and counsel were present. Mrs. Shaffer-Kugel stated that they inquired about Smile Restore, which is
 based out of Washoe County, to obtain additional information regarding the services offered and their fees. Mrs.
 Shaffer-Kugel stated that non-profit organizations are required to provide the Board with the name of the dental
 director of the organization. Dr. Pisani inquired if the Dental Director listed is the custodian of the patient records.

317 Mrs. Shaffer-Kugel read the statute addressing dental directors and their scope of that position. Counsel for Dr. 318 Chase stated that Smile Restore was opening a new location in MoundHouse, but in the interim were in Reno as 319 they attempt to open up in MoundHouse. She stated further that Dr. Chase was the volunteer dentist in Reno, and 320 that Dr. Dodson was the dental director of Smile Restore. Dr. Blasco stated that while non-profit did not mean 321 not-profitable, however, that based on the fees provided it appeared to be fairly similar to insurance company 322 reimbursement fees. Counsel for Dr. Chase stated that a great deal of services are done at no charge to the patients, 323 and noted that the fee schedules were greatly reduced fees. Dr. Blasco commented that the fees on the list provided 324 appeared to be rather similar fees used for standard reimbursement rates of insurance companies. Mr. Hunt stated 325 that the intent of the statute was to ensure that a non-profit organization would not perform services in 326 competition with other dental practices. Dr. Pisani stated that the fees listed in the fee schedule were substantial 327 and comparable to fees charged at regular dental offices. He noted that Board members were not provided a list of 328 other volunteer dentists, aside from Dr. Chase, providing services. Dr. Chase stated that they bill Access 329 Healthcare, which is the entity that sends their patients to her facility. She noted that the fee schedule provided 330 pertained to Access to Healthcare, and was not a fee schedule produced or established by Smile Restore. Dr. Pisani 331 suggested that Dr. Chase refine the list to reflect a more accurate fee schedule. Dr. Chase stated to the Board that 332 Smile Restore does not have a fee schedule established based on the reason that they only charge what patients are 333 able to pay for services rendered, which at time patients are not able to afford any payment. Ms. Tyler stated that 334 Dr. Chase should submit a fee schedule that reflects a more accurate list of fees and what is actually collected from 335 grants. Mr. Hunt noted that the Board did have the option to request additional information and revisit the agenda 336 337 item at a future Board meeting.

338 MOTION: Dr. Pisani made the motion to declare that Smile Restore was a non-profit organization until the board 339 is provided with additional information. Motion was seconded by Ms. Guillen. All were in favor of the motion. 340

*b. Request to Amend the Advisory Opinion issued by the Board on November 20, 2015/January 22, 2016 for the administration of botulinum toxins, dermal fillers and other facial injectables - NAC 631.279 (For Possible Action)

(1) Byron Blasco, DMD

341

342 343 344

352

353

354 355 356

373

345 347 Dr. Blasco read his recommendations to amend the Advisory Opinion to read as read in the proposed changes in 348 language. Mr. Hunt noted that Dr. Blasco's statement would supplement the advisory opinion previously given by 349 the Board. He stated further, that the Board can draft another regulation to better clarify the administration of 350 351 injectables to the oral and maxillofacial region.

MOTION: Ms. Guillen made the motion to approve the revisions as read by Dr. Blasco. Motion was seconded by Dr. Pisani. All were in favor of the motion.

*c. Request for Advisory Opinion for clarification whether webinar continuing education courses are recognized as on-line courses pursuant to NAC 631.175(5)(c)-NRS 631.279 (For Possible Action)

(1) Sarah Sara Mercier, RDH

357 358 359 360 361 Ms. Mercier stated that her name was "Sara" not "Sarah". She noted that at a previous meeting, Mrs. Shaffer-Kugel 362 stated that webinars were considered live lecture based. Mrs. Shaffer-Kugel indicated that webinars that are live 363 are considered live instruction/lecture. However, that webinars that are previously recorded and non-interactive 364 are considered home study and not live instruction. There was discussion on how the Board could define 365 366 'interactive'.

367 ADVISORY OPINION: Mrs. Villigan stated that it was the Board's opinion that a live webinar continuing 368 education course would qualify as live instruction if the ability to have immediate interaction with the lecturer is available. The advisory opinion was seconded by Ms. Guillen. All were in favor of the advisory opinion. 369 370 371 372

*d. Board to approve/reject the recommendations from the Budget & Finance Committee Meeting held on August 18, 2016 regarding the Legislative Auditors recommendations-NRS 631.190 (For Possible Action)

374 375 376 Mrs. Shaffer-Kugel stated that there were some recommendations from the Legislative auditor's report and went 377 over the recommendations. She read over the recommendations from the Budget and Finance Committee. The 378 MOTION's were as follows:

379 Recommendation 1) to not assess the costs of investigations to licensees for complaints that are 380 remanded: MOTION: Dr. Pisani made the motion to approve the recommendation that the Board not 381 charge for remands. Motion was seconded by Dr. Blasco. All were in favor of the motion. 383 Recommendation 2) Set a limit for travel: MOTION: Dr. Blasco made the motion to approve the 384 recommendation for set travel limits as presented. Motion was seconded by Ms. Guillen. All were in favor 385 of the motion. 387 Recommendation 3) Merits of in-house counsel: MOTION: Dr. Pisani made the motion to accept the 388 recommendations to continue with current counsel and review the merits of both in-house and outside 389 Counsel at the end of counsel's contract in June 2017. Motion was seconded by Mrs. Villigan. All were in 390 favor of the motion. 392 **Recommendation 4)** Reimbursement of investigation costs declared overcharged by LCB auditors: 393 MOTION: Ms. Tyler made the motion to amend the recommend reimbursement to include the five (5) 394 individuals who are currently under monitoring by the Board (reimburse all licensees listed in LCB audit 395 owed a reimbursement). Motion was seconded by Dr. Pisani. All were in favor of the motion. 396 397 398 398 *e. Approval of Reactivation of Dental License - NAC 631.170(4) (For Possible Action) 400 401 (1) Joseph Beck, DDS 402 Dr. Beck was present and stepped forward. Dr. Beck commented that he last actively practiced in Indiana in 2011. 403 Mrs. Shaffer-Kugel noted to the Board that Dr. Beck successfully passed the CDCA exam in 2015. Mr. Hunt stated 404 that historically the board has required that licensees who have not practiced in over two (2) years to complete 405 either a skills assessment or successfully take and pass a clinical exam. Mr. Hunt noted that Dr. Beck successfully 406 completed the CDCA exam in May 2015 but has not practiced since taking the exam. There was discussion 488 regarding Dr. Beck's disciplinary issues in Indiana, which the Board spoke with Dr. Beck in length and detail. 409 MOTION: Ms. Tyler made the motion to deny the application until Dr. Beck's Indiana license is reinstated. 410 Motion was seconded by Dr. Pisani. Dr. Blasco stated for edification that should Dr. Beck's Indiana license be 411 reinstated, which would potentially occur in December 2018, he will have surpassed two years since taking the 412 CDCA exam; therefore noted that the Board may require him to retake a clinical exam at that time, should be apply 413 to reactivate. All were in favor of the motion. 414 415 Recess: 12:13 p.m. Return from Recess: 12:25 p.m. 416 418 *f. Approval of Voluntary Surrender of License - NAC 631.160 (For Possible Action) 419 (1) Margaret MacMinn, DMD 420 (2) Avmee Jaramillo Rivas, DDS 421 422 (3) Lindsay Pfeffer, DMD (4) Russell Penner, RDH (5) Irene Durand, RDH Mrs. Shaffer-Kugel stated that there were no pending matters for the licensees listed. MOTION: Dr. Pisani made the motion to approve. Motion was seconded by Ms. Gabriel. All were in favor of the motion. *g. Approval for Anesthesia-Permanent Permit - NAC 631.2233 (For Possible Action) (1) General Anesthesia (For Possible Action) (a) Blair Alexander Isom, DDS Dr. Johnson stated that the licensees passed the inspections and recommended approval. MOTION: Ms. Guillen made the motion to approve. Motion was seconded by Dr. Pisani. All were in favor of the 439 motion; Dr. Johnson abstained. 440 441

444

(2) Conscious Sedation (For Possible Action)

(a) Amy M.K. French, DMD

Dr. Johnson stated that the licensees passed the inspections and recommended approval.

MOTION: Dr. Pisani made the motion to approve. Motion was seconded by Ms. Guillen. All were in favor of the motion; Dr. Johnson abstained.

*h. Approval for Anesthesia-Temporary Permit – NAC 631.2254 (For Possible Action)

(3) General Anesthesia (For Possible Action)

(a) Christina J. Baek, DDS

Dr. Johnson stated that he reviewed the applications, that all was in order, and recommended approval.

MOTION: Dr. Pisani made the motion to approve. Motion was seconded by Ms. Guillen. All were in favor of the motion; Dr. Johnson abstained.

(4) Conscious Sedation (For Possible Action)

- (a) Shahriar H. Agahi, DMD
- (b) Brittany N. Rich, DMD
- (c) Keaton M. Tomlin, DMD
- (d) Arshid Torkaman, DDS
- (e) Doribeth Ruiz, DMD

Dr. Johnson stated that he reviewed the applications, that all was in order, and recommended approval.

MOTION: Ms. Guillen made the motion to approve. Motion was seconded by Dr. Pisani. All were in favor of the motion; Dr. Johnson abstained.

*i. Approval for Anesthesia Evaluator(s)-NRS 631.190 (For Possible Action)

(1) Troy D. Savant, DDS - General Anesthesia

Mrs. Shaffer-Kugel stated that Dr. Savant met the requirements.

MOTION: Ms. Guillen made the motion to approve Dr. Savant's application to be an evaluator. Motion was seconded by Dr. Pisani. All were in favor of the motion.

*7. Resource Group Reports

- *a. Legislative and Dental Practice (For Possible Action)
 - (Chair: Dr. Pinther; Dr. Champagne; Dr. Blasco; Dr. Kinard; Ms. Guillen)

No report.

*b. <u>Legal and Disciplinary Action</u> (For Possible Action) (Chair: Dr. Kinard; Dr. Pisani; Dr. Blasco; Dr. Shahrestani; Mrs. Villigan)

No report.

*c. <u>Examinations Liaisons</u> (For Possible Action)

*(1) <u>WREB/HERB Representatives</u> (For Possible Action) (Dr. Blasco; Ms. Gabriel)

(a) Report from Dr. Blasco regarding DERB Meeting 06/24/2016

Dr. Blasco gave his report from the DERB meeting in June 2016.

*(2) <u>ADEX Representatives</u> (For Possible Action) (Dr. Kinard)

Dr. Kinard stated that Dr. Pinther gave a report earlier in the meeting.

*d. <u>Continuing Education</u> (For Possible Action)

(Chair: Dr. Blasco; Dr. Shahrestani, Dr. Pisani; Mrs. Villigan; Ms. Gabriel)

No Report.

*e. <u>Committee of Dental Hygiene</u> (For Possible Action)

(Chair: Ms. Guillen; Mrs. Villigan; Ms. Gabriel; Dr. Shahrestani)

No report.

*f. <u>Specialty</u> (For Possible Action) (Chair: Dr. Pisani; Dr. Johnson; Dr. Pinther)

No report.

*g. <u>Anesthesia</u> (For Possible Action)

(Chair: Dr. Johnson; Dr. Pinther; Dr. Champagne; Dr. Kinard) (For Possible Action)

(1) Approve or Reject Recommendations from the Anesthesia Committee regarding proposed draft language changes to NAC 631.2211-NAC 631.2254 (For Possible Action)

Dr. Johnson briefly went over the recommendations from the Anesthesia Committee and recommended approval.

MOTION: Dr. Kinard made the motion to approve the proposed language. Motion was seconded by Dr. Blasco. All were in favor of the motion.

*h. Infection Control (For Possible Action)

(Chair: Mrs. Villigan; Dr. Blasco; Dr. Champagne; Dr. Pisani; Ms. Gabriel)

(1) Recommendations from Leslea Villigan, RDH (Committee Chair), to make certain changes to the Infection Control Form (For Possible Action)

Mrs. Villigan briefly went over some of the recommended changes for the IC inspection form. She noted that the question numbers were from a previous form. She added that the current form needed updating so that it would be current with the CDC guidelines.

MOTION: Dr. Blasco made the motion to approve the recommended changes. Motion was seconded by Dr. Johnson. All were in favor of the changes.

- *i. <u>Budget and Finance Committee</u> (For Possible Action)
 - (Chair: Dr. Blasco, Dr. Pinther, Ms. Tyler, Ms. Guillen)

No report.

8. <u>Public Comment</u>: (Public Comment is limited to three (3) minutes for each individual)

Kathryn O'Mara with Nevada Medical Association commented for the record that she studied law, and that she wanted the Board to become aware that there were serious concerns with pushing forward with R118-15. She
stated that the public was not given the opportunity to state their comments, and that public comment should be taken into consideration. She noted further that the NMA was strongly against with the Board pushing the language forward. She gave her interpretation of the fact that the LCB put botulinum toxins administration under NRS Chapter 630.

Note: No vote may be taken upon a matter raised under this item of the agenda until the matter itself has been specifically included on an agenda as an item upon which action may be taken. (NRS 241.020)

9. <u>Announcements</u>: Mrs. Shaffer-Kugel announced that she will be arranging a date to hold a Public Workshop for the Anesthesia Regulations.

Mr. Hunt stated that he did not comprehend how the public was not given an opportunity to comment on the regulations in R118-15, when that there was a workshop.

MOTION: Dr. Champagne made the motion to return to agenda item (3) Public Comment. Motion was seconded by Ms. Gabriel. All were in favor of the motion.

579

580 *3. Public Comment: Kathryn O'Mara stated that her comments were a question of public health and safety. She 581 stated that botulinium toxin should be administered by only those properly trained to do so. She argued that the 582 Legislature's intent was for the toxin in question to be governed solely by the Medical board and thus placed the 583 toxin regulation under Nevada Chapter 630, not Dentistry (Chapter 631). She boldly stated that if a dentist were 584 to utilize 'botox' it would be the improper use of dentistry. She continued on that the Medical Board had the sole 585 discretion to regulate the use of Botulinium Toxins. She noted that the Medical Association would have supported 586 reassigning the two sections for their own R-number so that they could be readdressed at a future workshop, and 587 that they were willing to work with the Board. She asked that the Board reopen the original motion and reassign 588 589 the sections regarding Botulinium toxins.

590 Mrs. Shaffer-Kugel commented as a member of the public and clarified that through conversations with the 591 Medical Board, her understanding was that they are given the ability to license medical doctors, Physician 592 Assistant's, etc. She stated that it seemed inaccurate for one to state that the Legislature gave exclusive control 593 over the use of botulinium toxin to the Medical Board when it was not noted in the statute. She commented that 594 the Statute referenced by the Medical Association, was developed to give the Medical Board the ability to have 595 Medical Doctors authorize and supervise medical assistants to have in their possession, and in their administration 596 of botulinium toxins. She added that in 2006 the Medical Board's position, when approached regarding dentists' 597 ability to administer the toxin, was deemed the practice of Medicine; however, that in 2011, the Medical Board 598 changed their statutes to allow for Medical Assistants, whom are not registered and therefore, the Medical Board 599 has no legal jurisdiction over, to administer botulinium toxin. Mrs. Shaffer-Kugel then argued that unlike Medical 600 Assistants, Dental Hygienists' and Dentists are licensed and are trained in anatomy and human biology. She stated 601 that in all fairness, the Medical Board changing their regulations to allow for a medical assistant to administer the 602 toxin under the authorization and supervision of a medical doctor, created a new avenue for argument. As a 603 member of the public, she stated that she would feel more comfortable with a dentist, who has a four year degree 604 and has education in the anatomy and the nerves of the oral and maxillofacial region, to administer botulinium 605 toxin to than with a medical assistant who has no proper training or educational background; especially to know 606 that if something were to go amiss, she would have no proper recourse because the medical assistant is not a 607 registered or licensed individual. She stated that she believed that the Board should re-open the topic for further 608 discussion. She went on to state that the Medical entities were reaching in their comments and statements. She 609 stated that twenty-one other states allow the profession of dentistry to administer the toxin, and noted that that 610 the administration of botulinium toxin is taught in specialty programs for dentists, which goes against the 611 612 613 614 argument that it is a toxin solely governed by the Medical board and Medical profession.

There was no motion to reconsider.

615 MOTION: Dr. Pisani made the motion to return to agenda order. Motion was seconded by Ms. Gabriel. All were in 616 617 favor of the motion.

*10. Adjournment (For Possible Action)

628 629

630 631 632

618 620 MOTION: Dr. Kinard made the motion to adjourn. Motion was seconded by Dr. Johnson. All were in favor of the 621 motion. 622

Meeting Adjourned at 1:03 pm.

Respectfully submitted by:

Debra Shaffer-Kugel, Executive Director



STATE OF NEVADA

OFFICE OF THE ATTORNEY GENERAL

100 North Carson Street Carson City, Nevada 89701-4717

ADAM PAUL LAXALT Attorney General

March 10, 2016

WESLEY K. DUNCAN First Assistant Attorney General

NICHOLAS A. TRUTANICH First Assistant Attorney General

Timothy T, Pinther, D.D.S., President Nevada State Board of Dental Examiners 6010 S. Rainbow Blvd., Suite #A-1 Las Vegas, NV 89118 ^{mar} 1 4 2016 NSBDE

Received

RE: Joint Representation of Nevada State Board of Dental Examiners

Dear President Pinther:

The Office of the Attorney General (OAG) is designated as legal counsel for the Executive Branch of State Government pursuant to NRS 228.110. Various other statutes require the OAG to perform specific legal functions for the various components of the Executive Branch, including Title 54 Boards. Since the Nevada State Board of Dental Examiners also engages John Hunt as outside counsel pursuant to NRS 631.190, this correspondence will clarify the scope of the Board's joint representation by both outside counsel and the OAG.

In the course of joint representation, please be mindful of the following:

- The Board may request written opinions on questions of Nevada law from the OAG pursuant to NRS 228.150. When relying on an Attorney General Opinion in good faith, the Board is protected from liability for damages against the governmental body it serves if the Opinion is later found to be incorrect. See Cannon v. Taylor, 88 Nev. 89, 91, 493 P.2d 1313 (1972).
- 2) The Board should immediately notify the OAG whenever served with a complaint in federal or state court, or a petition for judicial review, or if the Board is otherwise presented with legal documents, since service must be effected in strict compliance with FRCP 4(j)(2), NRS 41.031(2) or NRS 233B.130(2), which includes service upon the OAG.
- 3) Due process considerations together with Nevada Rule of Professional Conduct 1.7 (prohibiting attorneys from conflicts of interest in legal representation) prohibit the same attorney from acting as both prosecutor and Board counsel whenever the Board adjudicates the legal rights of a licensee. See Laman v. Nevada Real Estate Advisory Comm'n, 95 Nev. 50, 56, 589 P.2d 166, 170 (1979). The OAG can provide attorneys to serve the Board in either role whenever necessary to avoid any conflict.

ja n

To: Timothy T. Pinther, D.D.S, President Date: March 10, 2016 Page: 2 of 2

•

* ····

4) NRS 333.700(6) requires any contract for services, including but not limited to contracts for outside counsel, to be reviewed and approved as to form and compliance with law by the OAG. NRS 331.110(2) similarly requires any office lease to be reviewed and approved as to form and compliance with law by the OAG.

In order to confirm the Board's understanding of the scope of joint representation, the OAG recommends discussion of this correspondence as an agenda action item at a future Board-meeting. I will attend that Board meeting to answer any questions that the Board may have.

The OAG appreciates the extraordinary efforts of the Board to protect the public by enforcing the provisions of NRS Chapter 631 regarding the practice of dentistry and dental hygiene. The OAG encourages the Board to be proactive in seeking legal advice, and wants to emphasize that all the resources of our office are available to the Board to assist in this effort, including training and briefings on recent legal developments and critical issues facing licensing boards.

If you have any questions, please do not hesitate to contact me at 775-684-1201 or <u>bkandt@ag.nv.gov</u>.

Sincerely,

ADAM PAUL LAXALT Attorney General Bv: Brett Kandt Chief Deputy Attorney General

WBK/klr cc: John Hunt, Esq.

> Received MAR 1 4 2018 NSBDR



VIA ELECTRONIC MAIL: DASHAFFER@NSBDE.NV.GOV

September 29, 2016

ŵ

Ms. Debra Shaffer-Kugel Executive Director Nevada Board of Dental Examiners 6010 S. Rainbow Blvd., Ste. A-1 Las Vegas, NV 89118

Dear Ms. Shaffer-Kugel:

RE: State Board Participation on Accreditation Site Visits

This letter is to notify you that the institution(s) listed below have indicated a willingness to have a representative of the state board participate in the Commission on Dental Accreditation's 2016 on-site evaluations of the following dental and allied dental education program(s):

Allied Education Site Visits:

University of Nevada Las Vegas School of Dental Medicine Las Vegas, NV April 11, 2017

<u>Appointment Process and Reimbursement</u>: In accordance with the attached policy statement for state board participation on site visit teams, the state board of dentistry is requested to submit the names of <u>two</u> representatives who are <u>current members</u> of the board for each site visit listed. The Commission will then ask the institution to select <u>one</u> individual to participate on the visit. You will be notified when the institution has selected a representative. Prior to the visit, the representative will receive an informational packet from the Commission and the self-study document from the institution. The state board is responsible for reimbursing its representative for expenses incurred during a site visit.

Confirmation of State Board Participation Form (to be returned): Each program that has elected to invite the board of dentistry is identified on the attached Confirmation of State Board Participation Form(s). The board of dentistry is requested to complete this form, as described above.

<u>Please note</u>: The Confirmation of State Board Participation Form(s) must be returned by the due date indicated on each form, whether or not the State Board is participating in the site visit However, if communication is not received from the state board by this date, it will be assumed that the state board is unable to participate on the site visit.

, *

2

<u>Conflicts of Interest</u>: When selecting its representatives, the state board should consider possible conflicts of interest. These conflicts may arise when the representative has a family member employed by or affiliated with the institution; or has served as a current or former faculty member, consultant, or in some other official capacity at the institution. Please refer to the enclosed policy statements for additional information on conflicts of interest.

<u>Time Commitment</u>: It is important that the selected representative be fully informed regarding the time commitment required. In addition to time spent reviewing program documentation in advance of the visit, the representative should ideally be available the evening before the visit to meet with the Commission's site visit team. <u>Only one state</u> board representative may attend each site visit to ensure that continuity is maintained; the representative is expected to be present for the entire visit.

<u>Confidentiality and Distribution of Site Visit Reports</u>: Please note that, as described in the enclosed documents, state board representatives attending CODA site visits must consider the program's self-study, site visit report, and all related accreditation materials confidential. Release of the self-study, report, or other accreditation materials to the public, including the state board, is the prerogative of the institution sponsoring the program. <u>State Board representatives who attend a site visit will be requested to sign a confidentiality agreement. If the confidentiality agreement is not signed, the individual will not be allowed to attend the site visit.</u>

If the Commission can provide further information regarding its site visit evaluation process, please contact Ms. Malinda Little at 1-800-621-8099 extension 2675 or <u>littlem@ada.org</u> or Ms. Sheron Parkman at 1-800-621-8099 extension 2668 or <u>parkmans@ada.org</u>. Thank you in advance for your efforts to facilitate the board's participation in the accreditation process.

Sincerely,

Sheir Tooks

Dr. Sherin Tooks Director Commission on Dental Accreditation

ST/gm

- cc: Dr. Catherine Horan, Manager, Predoctoral Dental Education, Commission on Dental Accreditation (CODA)
 Ms. Jennifer Snow, Manager, Advanced Specialty Education, CODA
 Ms. Peggy Soeldner, Manager, Postdoctoral General Dentistry Education, CODA
 Ms. Patrice Renfrow, Manager, Allied Education Programs, CODA
 Ms. Alyson Ackerman, Manager, Allied Program Reviews, CODA
 Ms. Catherine Baumann, Manager, Advanced Specialty Education, CODA
 File
- Enclosures: CODA Confirmation of State Board Participation Form(s) Policy on State Board Participation and Role During a Site Visit

Page 3

. •

*

Policy on Conflict of Interest Policy on Public Disclosure and Confidentiality

.



My Life. My Smile. My Orthodontist.®

117th Annual Session Navigating Orthodontics The Art اله The Science The Business

San Diego, California 🖪 April 21-25, 2017

October 19, 2016

Nevada State Board of Dental Examiners 6010 S. Rainbow Blvd., Ste. A-1 Las Vegas, NV 89118

State Dental Board Commissioner:

I write to you on behalf of the American Association of Orthodontists (AAO), which is the oldest and largest dental specialty group in the United States. It is comprised of over 9,000 practicing orthodontists. The AAO's main concern in writing to you is for the safety and health of patients treated using a business model of which you may not be aware.

The AAO would like you to review the practices of at least one company operating, according to information we have, within your state. It is our understanding that your authority as a regulatory body grants you the ability to review business practices of both licensed dental professionals and those with whom they do business in the course of patient treatment. Your charge, and our interest, is to protect the public against practices that are harmful, illegal, and unethical.

The purpose of this letter is to ask you to clarify to us your position on a new treatment and business model. Many of our members have asked the AAO to advise them on the legality of the model. We have informed our members that we do not make such determinations, nor do we take positions on the advisability of contractual relationships with any legal market participant. Given the number of inquiries, we thought it would be productive for the AAO to compile the questions most frequently raised, so that you may examine the model and advise us on how best to respond to members located in your state.

The practice to which we refer involves the question of potential illegal fee and/or referral fee splitting, as well as neglect of the patient in informing them of potential risk and alternatives to treatment. One example is a company named SmileDirectClub, which can be found at smiledirectclub.com. According to the company's website, the business model includes, in many instances, a patient sending a picture of their teeth to the company, located in Tennessee. The company then sends the pictures to a dentist licensed in the state of the patient's residence, who is paid by the company to review the picture. If the dentist determines that the patient is a candidate for the company's treatment, the patient is then sent a "kit" to make an impression of their teeth. The completed impression is then analyzed by the company, and the dentist approves, at his or her discretion,

DeWayne B. McCamish, DDS, MS President

4610 Brainerd Road, Suite #3 Chattanooga, TN 37411 423.622.4173 phone 423.629.9889 fax

dbm@dbmortho.com

Nahid Maleki, DDS, MS President-Elect

4910 Massachusetts Avenue NW #319 Washington, DC 20016

202.244.3600 phone 202.244.3628 fax

drmaleki@verizon.net

Brent E. Larson, DDS, MS Secretary-Treasurer

515 Delaware Street SE, 6-320 Moos Tower Minneapolis, MN 55455 612.626.9202 phone 866.596.8985 fax blarson@aaortho.org

Chris P. Vranas, CAE Executive Director

401 North Lindbergh Boulevard St. Louis, MO 63141 314.993.1700 phone 314.993.0142 fax cvranas@aaortho.org



Nevada State Board of Dental Examiners October 19, 2016 Page 2

the treatment plan created—which involves a number of clear aligner trays which are shipped directly to the patient. The dentist is paid, apparently, only if the treatment plan is approved.

The AAO's concerns are that this treatment model potentially violates several aspects of your state laws/regulations:

1. Our first concern relates to the question of the potential that this model violated prohibitions against referral fees or fee splitting. In this treatment model, as we understand it, the patient pays the treatment fee directly to the company. The company then contracts with licensed dentists in the state to review and approve cases. It is our understanding that the dentist is paid only if the treatment plan is approved. In either case, the patient pays the company, and the company pays the dentist to whom the patient is "referred", even if the referral is just virtual. This likely creates a doctor-patient relationship between the patient and the dentist. If that is not the case, then the company could be practicing dentistry without a license in your state, which is an aspect that may also bear further scrutiny.

Our members are conscientious about following all applicable laws, regulations and ethical rules. We know that they routinely face questions about fee splitting when they enter into marketing arrangements, hire independent contractors, or even send holiday gifts to other professionals in their area who refer them patients. We advise our members to do all of this with extreme caution so as not to violate state law or give the appearance that anything other than the health and welfare of their patients is their only priority.

In fact, our own code of ethics contains a provision which we understand is also prevalent in many, if not all, states. In relevant part, it states:

"Members shall make treatment decisions and render all related opinions and recommendations based on the best interest of the patient without regard to a member's direct or indirect financial or beneficial interest in a product or service, or direct or indirect relationship with the manufacturer or supplier of such product or service." (AAO's *Principles of Ethics and Code of Professional Conduct*, I.C.)

There is also, in the same document, a prohibition on the giving or receiving of rebates or split fees "in relation to the referral or acceptance of patients." It is our understanding that all states have some prohibition against the splitting of fees or the giving or acceptance of referral fees, for the reason that it provides an incentive to the physician to prescribe a treatment which may not be in the best interest of the patient.

Received oct 2 1 2016 NSBDE Nevada State Board of Dental Examiners October 19, 2016 Page 3

Under this treatment model, it is difficult to understand how a licensed dental professional would have the opportunity to fully examine a patient and base a treatment decision on the best interest of the patient without regard to a financial incentive; in fact, it appears to us that a clear financial incentive is available to a licensed dentist if they approve a treatment plan proposed to them by the manufacturer. Dentists are not given the opportunity to examine the patient otherwise.

We respectfully request a definitive statement from your board relative to whether this model violates your state's anti-fee splitting or referral fee regulations.

2. Another concern is that this treatment model does not allow a dentist to provide the necessary details of treatment to the patient in order for the dentist to obtain appropriate informed consent, or to advise the patient of reasonable alternatives to treatment. If, as the company states, the patient never needs to visit or consult personally with the dentist, how can the patient be properly informed of the risks of, and the alternative to, treatment? It is our understanding, in fact, that patients do not know the identity of the dentist who is examining their records. Patients are not able to ask any questions of the dentist, and are not even aware of the identity of the dentist until they receive, via mail, their aligner trays.

It is also our understanding that patients' questions regarding treatment are directed to the corporate headquarters of the company, and not to the treating dentist. That, of course, raises concerns about who is answering questions about treatment. It also could raise questions about whether the dentist can properly prescribe treatment when they may not, in fact, be aware of the questions and concerns of their patient.

We respectfully request direction from your board on whether we may inform our members that this model is acceptable in terms of obtaining proper informed consent for treatment and the availability of reasonable alternatives in your state.

3. Another continuing concern of the AAO's with this treatment model is that it ignores the value and importance of complete pre-treatment diagnostic records. With many patients, the only "record" submitted for the dentist and the company to formulate a treatment plan include nothing more than a patient-taken picture and a dental impression that the patients take of themselves. Many significant dental and orthodontic complications cannot be determined through these records. For instance, dental caries, periodontal problems, and biological factors that may lead to root resorption and tooth loss, among other issues, would be difficult, if not impossible, to detect

Received OCT 2 1 2016 NSBDE Nevada State Board of Dental Examiners October 19, 2016 Page 4

> without the advanced technological screening capabilities that are routinely and widely available today. Rather than taking advantage of advancing technology, we are concerned that this treatment model uses incomplete methods to develop a diagnosis—methods that have not been the primary means for doing so since the routine use of xray machines in the first half of the last century.

> We respectfully request direction from your board as to whether this treatment model meets the acceptable standard of care in your state.

These may not, in fact, be the only issues that this treatment model raises in your state. The AAO is not making any determination on these or other issues you may find with this treatment model, as it recognizes it does not have the power or responsibility to do so. We would, however, appreciate you informing us of your answers to our concerns so that we may adequately and appropriately inform our members, so that they may best serve the citizens of your state.

If you have any questions or concerns, please contact me at (314) 292-6525, or via email at kdillard@aaortho.org. Thank you in advance for your attention to this matter.

Sincerely,

Kevin J. Dillard

General Counsel

KJD:krd

Debra Shaffer

From: Sent: To: Subject: Dr. erika Smith < (1997) (1997) (1997) Wednesday, October 26, 2016 9:40 AM Debra Shaffer Dr. Erika Smith suspension

To Whom It May Concern.

I am requesting the lifting of my suspension for 60 day extension because I have a company that wants to hire me. It would put me in a position to pay my fine and reinstatement fee in the amount of \$1990. I would appreciate your favorable consideration in this matter.

Dr. Erika J. Smith



6010 S. Rainbow Blvd., Bldg. A, Ste. 1 Las Vegas, NV 89118 (702) 486-7044 • (800) DDS-EXAM • Fax (702) 486-7046

APPLICATION TO REACTIVATE AN INACTIVE / RETIRED LICENSE

Name /	PATRIO	CIA D	EASTERL	ING-		Current Phone	
Complete	Mailing	Address _					
і, <u>Рат</u> 10095,	which wa	Easter is placed on	inactive/retire	, wish to re d status on/	eactivate my ina 2012 . I	active Dental (Dental H certify (choose one belo	ygiene (circle one) license number w):
	my Nevad quirements 1. Paymer confirm 2. Provide 3. Submit 4. Submit a. For 2 m b. For of 2 5. Provide 6. Provide	a license has for reactivation of the reactivation of the reactivation of the e a list of emp proof of cur proof of cur proof of cur proof of cur Dentists rea sust be in inf Hygienists p 2 must be in e a current se e certification	s been inactive ation are: tivation fee of \$3 correct fees to pa oloyment during rent CPR certific apletion of contin ctivating, 20 crece ection control); eactivating, 15 c infection control) If query report front from each jurised	e; 00.00 <u>in addition</u> y; the time the Neva ation (online certi- uing education cr lit hours are requi- redit hours are requi- redit hours are requi- redit hours are requi- tiction in which y	to the current ac da license was ir ification is NOT redits as follows ired (of those 20, quired (of those 1 Practitioners Data rou currently hold	tive license fees. You will nactive; acceptable); (courses must be complete a minimum of 14 MUST f 5, a minimum of 10.5 MU Bank;	te of Nevada during the period need to contact the Board office for d within the previous 12 months): be live-instruction and a minimum of ST be live-instruction and a minimum e, retired, etc.) to practice dentistry or standing are pending;
I Rec	 Provide I have not state of Ne quirements For lice a. Con For lice a. Con b. Pass 	maintained evada during s for reactiv enses on ina uplete items (enses on ina uplete items (such addition	commendation fr an active licens g the period my ation are: ctive/retired star 1) through (5) at ctive/retired star 1) through (5) at nal examinations	om two (2) licens se and practice (Nevada license tus for less than 2 pove. tus for 2 years or pove; s for licensure as t	ed dentists; (no active licen e has been inac 2 years: r more: the Board may pr	se and not working) <u>for</u> tive or retired; rescribe.	one or more years outside the Received JUL 2 1 2016 NSBDE
	filing(s) or service	or claim(s) or o	complaint(s) of	malpractice or	(the period my l disciplinary action(s) in INCLOSED WITH TH	icense was inactive/retired), I had any jurisdiction outside the State IS REACTIVATION

APPLICATION.

I authorize and empower the Nevada State Board of Dental Examiners or its agent to contact any person, firm, service, agency, or the like to obtain information deemed necessary or desirable by the Board to verify any information contained in my application to reactivate my inactive/retired license based upon this affidavit. I acknowledge I have a continuing responsibility to update all information contained in this application until such time as the Board takes action on this application. Failure of an applicant to update the information prior to final action of the Board is grounds for subsequent disciplinary action.

SIGNATURE OF LICENSEE Patricia O.	Easterling	DATE _7/7/16	
SUBSCRIBED TO AND SWORN BEFORE ME,	MAK	July, 20 16	_•
SEAL JAKE WILLIAMS Notary Public - State of Nevada County of Washoe APPT. NO. 16-1633-2 My App. Expires Mar. 23, 20	NOTARY PUBLIC IN AND F	OR SAID COUNTY AND STATE	Rev 10/2013